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PATENT
Attorney Docket No. Acui1100-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Andrew T. Busey, et al.
Serial No. 09/060,867
Filing Date: April 15, 1998
Group Art No. 2787
Title METHOD AND APPARATUS FOR REAL TIME NETWORK COMMUNICATION

BOX SN
Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents list below are being deposited in the United States Postal Service as first class mail on the date identified below in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Janice Di Petta
Janice Di Petta

7/6/98
Date

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application which was granted a Filing Date of April 15, 1998, and mailed to us on May 14, 1998, applicants submit herewith:

1. A copy of the Notice to File Missing Parts of Application;
2. A completed Verified Statement Claiming Small Entity Status;
3. A completed Declaration and Power of Attorney and surcharge of \$65.00;
4. A completed Assignment and the filing fee in the amount of \$40.00.
5. Requisite filing fee for 9 independent claims over 3, and 50 dependent claims over 20 (small entity calculation).

Received a check \$1,379.00

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The Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-0456 of Gray Cary Ware & Freidenrich, LLP.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH, LLP



Steven R. Sprinkle
Reg. No. 40,825

100 Congress Avenue
Suite 1440
Austin, Texas 78701
(512) 457-7025
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Date: July 6, 1998



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY-DOCKET NO./TITLE
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09/060,867 04/15/98 BUSEY

AA A1040C103

BENJAMIN C. HUANG
BROECK PHILIPER & HARRISON
301 CONGRESS AVENUE
SUITE 1200
AUSTIN TX 78701

DATE MAILED:

05/14/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 2758.80

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____ including any multiple dependent claim fees, are required.

\$ 138.00 for 9 independent claims over 3

\$ 1100.00 for 30 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1200